# Math-123: The fundamental theorem of Galois theory

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- $\triangleright$  Splitting fields of separable polynomials are Galois.
- Each subgroup H of Aut( $K/F$ ) has a fixed field: the set of all elements of  $K$  fixed by  $H$ .

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Let  $\sigma : \sqrt[3]{2} \mapsto \rho \sqrt[3]{2}$ ,  $\rho \mapsto \rho$ , and  $\tau : \sqrt[3]{2} \mapsto \sqrt[3]{2}$ ,  $\rho \mapsto \rho^2$ .

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Then Aut( $K/F$ ) is generated by  $\sigma$  and  $\tau$ , and we can show Aut $(K/F) \cong S_3$ .

### Known subfields:



Subgroups of the Galois group:



### Theorem (Fundamental theorem of Galois theory)

If  $K/F$  is a Galois extension, there is a bijective correspondence between subgroups of  $Aut(K/F)$  and intermediate fields L with  $F \subset L \subset K$ . The correspondence is given by taking fixed fields.

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The proof will use linear algebra!

# **Characters**

### Definition

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Theorem (Linear independence of characters)

If  $\chi_1, \chi_2, \ldots, \chi_n$  are distinct character of G with values in L, then they are L-linearly independent:  $a_1y_1 + \ldots + a_ny_n = 0$  implies  $a_1 = a_2 = \ldots = a_n = 0.$ 

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**Proof:** Suppose for a contradiction  $\chi_1, \ldots, \chi_n$  are linearly dependent. Choose *n* least where this happens. Pick  $a_1, a_2, \ldots, a_n$ not all zero such that:

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a_1 \chi_1(g_0 g) + a_2 \chi_2(g_0 g) + \ldots + a_n \chi_n(g_0 g) = 0
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Subtracting the two, we get:

 $a_1(\chi_1(g_0)-\chi_n(g_0))\chi_1(g)+\ldots+a_{n-1}(\chi_{n-1}(g_0)-\chi_n(g_0))\chi_{n-1}(g)=0$ 

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Since  $\chi_1(g_0) \neq \chi_n(g_0)$ , this gives a nontrivial relation between  $\chi_1, \ldots, \chi_{n-1}$ , contradicting minimality of *n*.

We will use linear independence of distinct characters to prove:

Theorem (Key theorem)

If K is a field and G is a finite subgroup of  $Aut(K)$  with fixed field F, then  $|G| = [K : F]$ .

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Note: before, we started with a certain kind of field  $F$  and saw that  $|Aut(K/F)| = [K : F]$ . Here, we start with the group, and deduce the same equation for its fixed field F.

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**Proof:** Suppose for a contradiction that  $n = |G| > [K : F] = m$ . Write  $G = \{\sigma_1, \sigma_2, \ldots, \sigma_n\}$ . Let  $\omega_1, \omega_2, \ldots, \omega_m$  be a basis for K over  $F$ . Let's study how  $G$  acts on the basis.

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Since  $n > m$ , there is a nonzero solution,  $\beta_1, \ldots, \beta_n \in K$ .

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Consider any  $\alpha \in K$ . Write  $\alpha = a_1 \omega_1 + \ldots + a_m \omega_m$ ,  $a_i \in F$ . Note  $\sigma_i(a_k\omega_i) = a_k\sigma_i(\omega_i)$  (*F* is the fixed field).
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Multiply the *i*th equation by  $a_i$ , and sum them up:

 $\sigma_1(a_1\omega_1 + a_2\omega_2 + \ldots + a_m\omega_m)\beta_1 + \sigma_2(\ldots)\beta_2 + \ldots + \sigma_n(\ldots)\beta_n = 0$ 

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α was an arbitrary element of K, so  $\sigma_1\beta_1 + \ldots + \sigma_n\beta_n = 0$ . This contradicts linear independence of characters.

Let  $G = \{\sigma_1 = 1, \sigma_2, \ldots, \sigma_n\}$  be a subgroup of Aut(K) with fixed field F. Then  $|G| \geq [K : F]$ .

Let  $G = \{\sigma_1 = 1, \sigma_2, \ldots, \sigma_n\}$  be a subgroup of Aut(K) with fixed field F. Then  $|G| > [K : F]$ .

**Proof:** Suppose for a contradiction  $n = |G| < [K : F]$ . Let  $\alpha_1, \ldots, \alpha_{n+1}$  be *F*-linearly independent in *K*. Look at the system:

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This has a solution  $\beta_1,\ldots,\beta_{n+1}\in K$  with not all  $\beta_i$ 's zero. Choose the one with the minimal number of nonzeroes. Renumbering, without loss of generality  $\beta_{n+1} \neq 0$ . Dividing everything by  $\beta_{n+1}$ , without loss of generality  $1 = \beta_{n+1} \in F$ . We will show that all the  $\beta_i$ 's are in  $F.$  This is a contradiction:  $\sigma_1$  is the identity and  $\alpha_1, \ldots, \alpha_{n+1}$  are supposed to be *F*-linearly independent.

If  $\beta_i \notin F$  for some j, then assume for simplicity  $j = 1$  and by definition of the fixed field there is an automorphism  $\sigma_{k_0} \in G$  such that  $\sigma_{k_0}(\beta_1) \neq \beta_1$ .

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Applying  $\sigma_{k_0}$  to the above, we get that  $\sigma_{k_0}\sigma_i(\alpha_1)\sigma_{k_0}(\beta_1)+\ldots+\sigma_{k_0}\sigma_i(\alpha_{n+1})\sigma_{k_0}(\beta_{n+1})=0.$ 

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Also note  $\sigma_{k_0}\sigma_1, \sigma_{k_0}\sigma_2, \ldots, \sigma_{k_0}\sigma_n$  is just a permutation of  $\sigma_1, \ldots, \sigma_n$ . So rearranging the equations, we can assume without loss that  $\sigma_i(\alpha_1)\sigma_{k_0}(\beta_1)+\ldots+\sigma_i(\alpha_{n+1})\beta_{n+1}=0$ .

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Subtract this from the equation in the first paragraph:  $(\beta_1 - \sigma_{k_0}(\beta_1))\sigma_i(\alpha_1) + \ldots + (\beta_n - \sigma_{k_0}(\beta_n))\sigma_i(\alpha_n) = 0.$ 

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Thus  $\beta_1-\sigma_{k_0}(\beta_1),\ldots,\beta_n-\sigma_{k_0}(\beta_n),$ 0 is a solution with fewer zeroes than before, contradiction.

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If G is a finite subgroup of Aut( $K$ ) with fixed field  $F$ , then  $|G| = [F : K].$ 

**Corollary** 

If  $K/F$  is any finite extension, then  $|Aut(K/F)| \leq [K : F]$  with equality if and only if F is the fixed field of Aut( $K/F$ ). Thus  $K/F$ is Galois if and only if F is the fixed field of  $Aut(K/F)$ .

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Let  $F_1$  be the fixed field of  $G = Aut(K/F)$ . Of course,  $F \subseteq F_1 \subseteq K$ .

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By the key theorem,  $[K : F_1] = |Aut(K/F)|$ . Thus  $[K : F] = [K : F_1][F_1 : F] = |Aut(K/F)|[F_1 : F].$ 

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By the key theorem,  $[K : F_1] = |Aut(K/F)|$ . Thus  $[K : F] = [K : F_1][F_1 : F] = |Aut(K/F)|[F_1 : F].$ Thus  $[K : F] \geq |Aut(K/F)|$  with equality if and only if  $F_1 = F$ .  $\Box$ 

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Let  $G = Aut(K/F) = \{\sigma_1 = 1, \sigma_2, \ldots, \sigma_n\}$ . Let  $\alpha \in K$  be a root of  $p(x)$ . Consider  $\alpha, \sigma_2(\alpha), \sigma_3(\alpha), \ldots, \sigma_n(\alpha)$ .

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Say  $r$  of them are distinct,  $\alpha=\alpha_1,\ldots,\alpha_r.$  Any member of  $G$ permutes the  $\alpha_i$ 's.

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Consider  $f(x) = (x - \alpha)(x - \alpha_2) \dots (x - \alpha_r)$ . Where are its coefficients?

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They are fixed by the members of G, so lie in the fixed field of G, which is F because  $K/F$  is Galois. Thus  $f(x) \in F[x]$ .

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Moreover,  $p(x)$  divides  $f(x)$  (it is the minimal polynomial), and  $f(x)$  divides  $p(x)$  because it has fewer roots. Thus  $f(x)$  and  $p(x)$ are the same up to a unit, and the result follows.

If  $K/F$  is a Galois extension, then every irreducible  $p(x) \in F[x]$ which has a root in  $K$  is separable and splits completely in  $K$ .

**Corollary** 

An extension  $K/F$  is Galois if and only if it is the splitting field of a separable polynomial over F.

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### Proof.

We saw the right to left direction already. For the converse, let  $\omega_1, \ldots, \omega_n$  be a basis for  $K/F$ , with minimal polynomials  $p_1, p_2, \ldots, p_n$ .

If  $K/F$  is a Galois extension, then every irreducible  $p(x) \in F[x]$ which has a root in K is separable and splits completely in  $K$ .

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Each  $p_i$  is separable and splits completely in  $K$  by the lemma.

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Each  $p_i$  is separable and splits completely in  $K$  by the lemma. Let  $q_1(x), \ldots, q_r(x)$  be a listing of the distinct  $p_i$ 's. Let  $g(x) = q_1(x)q_2(x)... q_r(x)$ . Then K is the splitting field of  $g(x)$ .

## **Corollary**

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**Corollary** 

If  $K/F$  is Galois and  $F \subseteq E \subseteq K$ , then  $K/E$  is Galois.

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If  $K/F$  is Galois and  $F \subseteq E \subseteq K$ , then  $K/E$  is Galois.

### Proof.

K/F is the splitting field of some  $f(x) \in F[x]$ , so is also the splitting field of  $f(x)$  considered as a polynomial in  $E[x]$ .

If G is a finite subgroup of  $Aut(K)$  with fixed field F, then  $|G| = [F : K].$ 

**Corollary** 

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## Proof.

Clearly, any element of G is in Aut $(K/F)$ . Thus  $|G| \leq |\text{Aut}(K/F)|$ .

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## **Corollary**

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## Proof.

Clearly, any element of G is in Aut( $K/F$ ). Thus  $|G| < |Aut(K/F)|$ . By key theorem,  $|G| = [K : F]$ , so  $K/F$  is finite.
## Theorem (Key theorem)

If G is a finite subgroup of Aut( $K$ ) with fixed field F, then  $|G| = [F : K].$ 

## **Corollary**

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#### Proof.

Clearly, any element of G is in Aut( $K/F$ ). Thus  $|G| < |Aut(K/F)|$ . By key theorem,  $|G| = [K : F]$ , so  $K/F$  is finite. By earlier corollary,  $|\text{Aut}(K/F)| < [K : F]$ .

## Theorem (Key theorem)

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#### Proof.

Clearly, any element of G is in Aut( $K/F$ ). Thus  $|G| < |Aut(K/F)|$ . By key theorem,  $|G| = [K : F]$ , so  $K/F$  is finite. By earlier corollary,  $|\text{Aut}(K/F)| \leq [K : F]$ . So we have  $[K : F] = |G| \leq |Aut(K/F)| \leq [K : F]$ , so equality holds.П

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If  $G_1 \neq G_2$  are distinct finite subgroups of Aut(K), then their fixed fields are distinct.

#### Proof.

Let  $F_1, F_2$  be the fixed fields of  $G_1, G_2$ . By previous corollary,  $G_1 = \text{Aut}(K/F_1)$ ,  $G_2 = \text{Aut}(K/F_2)$ . Thus if  $F_1 = F_2$ , then  $G_1 = G_2$ .

#### Theorem

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This bijection is given by sending  $E$  to the elements of G fixing  $E$ , and the inverse sends  $H$  to the fixed field of  $H$ . Moreover:

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1. (Inclusion-reversing correspondence) If  $E_1, E_2$  correspond to  $H_1, H_2$ , then  $E_1$  is a subfield of  $E_2$  if and only if  $H_2$  is a subgroup of  $H_1$ .

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- 2.  $[K : E] = |H|$  and  $[E : F] = |G : H|$ .
- 3.  $K/E$  is always Galois, with Galois group  $Aut(K/E) = H$ .

# The fundamental theorem: picture

$$
K = \text{ fixed field of 1}
$$
\n
$$
|H||
$$
\n
$$
E = \text{fixed field of } H
$$
\n
$$
|G:H||
$$
\n
$$
F = \text{fixed field of } G
$$
\n
$$
1 = \text{automorphisms fixing } K
$$
\n
$$
[K:E]
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\n
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\n
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[E:F]
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$$

#### Proof of fundamental theorem, part I

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#### Proof of fundamental theorem, part I

We have already proven that the map sending a group to its fixed field is injective.

We have also seen that  $K/E$  is Galois for any intermediate field  $E$ , so E is the fixed field of Aut( $K/E$ ). This shows the correspondence is surjective.

Also, if E is the fixed field of H then  $Aut(K/E) = H$  so  $|H| = \text{Aut}(K/E) = [K : E]$ , and we also know  $[K : F] = |G|$ , so taking quotients and using multiplicativity of degrees,  $|G/H| = |G|/|H| = [E : F].$ 

# Summary

If  $K/F$  is a Galois extension (equivalently, the splitting field of a separable polynomial), then there is a perfect correspondence between subgroups of Aut $(K/F)$  and intermediate fields, given by taking fixed fields.